

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**ATTORNEY SETTLEMENT OFFICER PANEL MEMBER:  
PERSONAL PROFILE**

Name: CHARLES E. RUMBAUGH

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Rolling Hills, California 90274

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California State Bar  
Number: 51482

Firm Name: ADR & LAW OFFICES OF CHARLES E. RUMBAUGH

Nature of Law  
Practice: Full-time Alternative Dispute Resolution Neutral in Arbitration and  
Mediation

Experience: Senior Executive and Legal Counsel for international/domestic  
companies ranging in size upward to multi-billion dollars in sales.  
Extensive transactional experience in commercial, international  
marketplace, real estate, large and small construction projects, securities,  
broker/dealers, and franchise matters as legal counsel, business executive,  
and as an arbitrator/mediator/advocate. Securities and franchise attorney  
in qualification and enforcement divisions with California Blue Sky  
Administrator. Since 1972 handled a broad range of disputes as  
litigator/advocate before state/federal administrative boards and arbitration  
panels. **“AV” rated attorney.**

Work History for  
the Last Five Years: Independent full-time Neutral, 1997-present; Part-time neutral since 1989; President and Chief Executive Officer of non-profit Center for Conflict Resolution, a Christian Conciliation Service, 1998-present; Director of Contracts/Pricing, Lear Astronics Corp., 1995-97; Assistant to Vice President & Legal Counsel, Hughes Aircraft Co., 1977-95; Adjunct Professor, Keller Graduate School of Management and California Lutheran University, 1997-present; Corporations Counsel, California Department of Corporations, 1971-77; Engineer, Westinghouse Electric Corp., 1966-68.

Education: University of California at Los Angeles (BS, Engineering - 1966); California Western School of Law (JD-1971); University of Southern California (Certificate, Advanced Management Program - 1993)

Dispute Resolution  
Training: Faculty, Nation-wide Alternative Dispute Resolution Seminars sponsored by U.S. Office of Federal Procurement Policy; Society of Professionals in Dispute Resolution Workshops on Mediation/Partnering/Arbitration; Faculty, National Contract Management Association ADR Workshops/Seminars/Training Sessions, 1994-present; AAA Hearing Chair Training; AAA Advanced Commercial & Construction Arbitration Training; AAA International Arbitration Training; L.A. County Bar Assn. Advanced Clinic for Arbitrators & Nuts and Bolts of Mediation; State of California Instructor Certificate in Alternative Dispute Resolution; Instructor for “Advance Arbitration” and “Basic Construction ADR” courses. Frequent (over 30 times a year) Speaker/Panelist around the country on various aspects of ADR before professional, business, and trade associations.

Dispute Resolution  
Experience: Lead arbitrator and mediator of minor to complex commercial, international, real property, construction, securities, and franchise disputes involving multi-party, million dollar plus claims, including punitive damages requests and equitable remedies. Substantial international ADR experience including consultation on drafting & interpretation of clauses and agreements for effective resolution of disputes. Involved in the development of dispute resolution systems in U.S. for enhancement of relationships for long-term commercial customers/suppliers. Initiated the establishment of a company-wide dispute resolution system for non-bargaining unit employees. Judge Pro Tem and arbitrator for Los

Angeles County Municipal Court. National Panel of Arbitrators-American Arbitration Association; ArbitrationWorks; Franchise Arbitration and Mediation, Inc.; NASD Regulation; and Center for Conflict Resolution. Armed Services Board of Contract Appeals ADR Neutrals Panel. U.S. Court of Federal Claims Panel of ADR Neutrals.

Professional  
Licenses:

Admitted to the Bar: California, 1972; U.S. District Court, Central District of California; Ninth Circuit Court of Appeals; and U.S. Supreme Court.

Professional  
Memberships:

Federal Bar Association (Beverly Hills Chapter, Past President); Society of Professional in Dispute Resolution (International Sector, Chair); American Bar Association (ADR Section: Arbitration Committee - Task Group Revising "Code of Ethics," International Arbitration Committee; PublicContract Law Section; Forum on Franchising; Forum on Construction Industry); International Bar Association (Arbitration & ADR Committee)California State Bar Franchise Law Committee (1992-95); American Arbitration Association, Los Angeles County Bar Assn. (previous ADR Sections); National Contract Management Association (Past Board Member, Founder and Co-Chair of ADR Committee); California Dispute Resolution Council (Consultant to Qualifications & Standards Committee); National Association of Purchasing Management; Institute of Electrical and Electronic Engineers; Christian Legal Society.

Other:

**PUBLICATIONS**

"ADR 'Tips' " (co-author) **American Arbitration Association Dispute Resolution Times**, on-going quarterly series of articles, commencing 1997-:

"How to Make Arbitration Awards 'Binding' on Non-Parties"

"Ethical Considerations for ADR Neutrals in Providing Quality Customer Service"

"How to Manage Arbitrations When There are Thousands of Exhibits"

"When are 'Corrections' to Arbitrations Awards 'Permitted'"

"Ways to Mitigate Y2K Problems/Issues and the Use of ADR"

"Using Alternative Dispute Resolution Methods to Resolve Government, Prime Contractor and Subcontractor Disputes" (co-author) **Contract Management**, July 1977.

“Improving Customer/Supplier Relationships Through An Alternative Disputes Resolution Clause” **American Bar Association General Practice Section Newsletter**, May 1997.

“Alternative Dispute Resolution, Recent Initiatives and Techniques for the Professional” (co-author) **Contract Management**, January 1997.

“Release Of Escrow Funds Following Arbitration” (co-author) **SPIDR News**, Spring 1993 with adaptation published under the title, “How to Make Arbitration Awards Binding Escrow Holders” **Los Angeles County Bar Association Real Property Section Newsletter**, March/April 1993.